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PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031  
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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/749,286
		Filing Date	December 26, 2000
		First Named Inventor	Gene R. Anderson
		Art Unit	2878
		Examiner Name	Pyo, Kevin K.
Total Number of Pages in This Submission		Attorney Docket Number	1613370-0009

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
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<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	RECEIVED NOV - 3 2003 TECHNOLOGY CENTER 2800	

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	James M. Wu, Reg. No. 45,241 White & Case LLP
Signature	
Date	October 27, 2003

### CERTIFICATE OF TRANSMISSION/MAILING

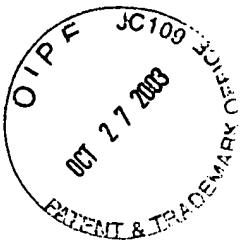
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Typed or printed name	Christina Ishihara
Signature	
	Date October 27, 2003

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application of: Gene R. Anderson, et al.

Serial No.: 09/749,286

Group Art Unit: 2878

Filed: December 26, 2000

Examiner: Pyo, Kevin K.

For: Optical Power Control System

White & Case Docket No.: 1613370-0009

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Christina Ishihara

Name

Signature

October 27, 2003

Date

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**INFORMATION DISCLOSURE STATEMENT**

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Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Patent Office of all references coming to the attention of Applicants or attorneys or agents for Applicants which are or may be material to the examination of the subject application, Attorneys for Applicants hereby invite the Examiner's attention to the reference(s) listed on the accompanying PTO Form SB/08A and SB/08B.

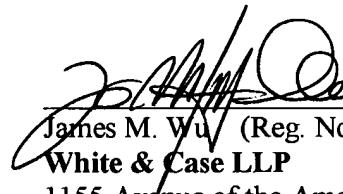
Identification of references listed on the attached PTO form is not to be construed as an admission of Applicants or attorneys for Applicants that such references are material or available as prior art against the subject application. The right is reserved to antedate any item in accordance with standard procedure.

This submission is understood to complement the results of the Examiner's own independent search. The submission should not be construed as a representation that a search was made, or that the cited items are inclusive of all the relevant and material citations that may be available publicly.

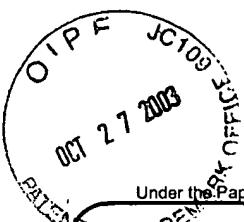
Copies of the cited references are enclosed. Applicants respectfully request that the Examiner review the attached references and that they be made of record in the file history of the above-captioned application.

In connection with the filing of this Information Disclosure Statement, Applicants believe that there is no fee due. However, should there be a required fee the Commissioner is hereby authorized to charge White & Case LLP Deposit Account No. 23-1703.

Dated: October 27, 2003



James M. Wu (Reg. No. 45,241)  
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Substitute for form 1449/PTO

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

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1 of 2

**Complete if Known**

Application Number	09/749,286
Filing Date	December 26, 2000
First Named Inventor	Gene R. Anderson
Art Unit	2878
Examiner Name	Pyo, Kevin K.
Attorney Docket Number	1613370-0009

## **U. S. PATENT DOCUMENTS**

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## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		EP 0 259 888 A2	03-16-1988	NEC Corporation		
		EP 0 607 700 A	07-27-1994	Semicond. Laser		
		EP 0 710 861 A1	05-08-1996	Sumitomo Elect.		

**Examiner Signature** \_\_\_\_\_ **Date Considered** \_\_\_\_\_

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO		<b>Completa if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(Use as many sheets as necessary)</i>		<b>Application Number</b>	09/749,286
		<b>Filing Date</b>	December 26, 2000
		<b>First Named Inventor</b>	Gene R. Anderson
		<b>Art Unit</b>	2878
		<b>Examiner Name</b>	Pyo, Kevin K.
Sheet	2	of	2
		Attorney Docket Number	
		1613370-0009	

## NON PATENT LITERATURE DOCUMENTS

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Examiner Signature		Date Considered	
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:  
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